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T-278 P.01/11 Job-898

PATENT

# UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. MBHB02,742-F (400/131))

IN THE AF	PLICATION OF:	)	
Mc	Swiggen, et al.	) Examiner:	To Be Assigned
Serial No.	10/665,951	) Group Art Unit:	1635
Filed:	September 18, 2003	) Confirmation No.:	8325
Title	RNA Interference Mediated Inhibition Of Vascular Endothelial Growth Factor And Vascular Endothelial Growth Factor Receptor Gene Expression Using Short Interfering Nucleic Acid (siNA)	) Fax No.: ) ) )	(703) 746-9195

### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

Attn: Office of Initial Patent Examination's

Filing Receipt Corrections

Dear Sir:

In regard to the above identified application,

- 1. We are transmitting herewith the attached:
  - a) Request for Corrected Filing Receipt;
  - b) Copy of Filing Receipt with changes noted thereon;
  - c) Copy of Preliminary Amendment filed on 11/19/04; and
  - d) Return postcard
- 2. With respect to fees:
  - a) It is believed no fee is due at this time.
- GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being transmitted via facsimile to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 (Fax No. (703) 746-9195) on December 3, 2004.

Respectfully salamitted,

McDonnell Boehnen Hulbert & Berghoff LLP

Date: December \_\_\_\_\_\_, 2004

Lisa M.W. Hillman Registration No. 43,673

			PATENT
	UNITED STATES PATENT AND TRAI (Case No. MBHB02,742-F (40	DEMARK OFFICE 00/131))	
IN THE A	PPLICATION OF:	) )	
Mc	Swiggen, et al.	)	
Serial No.	10/665,951	) Examiner:	To Be Assigned
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Title	RNA Interference Mediated Inhibition Of Vascular Endothelial Growth Factor And Vascular Endothelial Growth Factor Receptor Gene Expression Using Short Interfering Nucleic Acid (siNA)	Confirmation No.: ) ) )	8325

## REQUEST FOR CORRECTED FILING RECEIPT

Mail Stop OIPE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attention: Office of Initial Patent Examination's

- Filing Receipts Corrections
- Attached is a copy of a filing receipt received from the PTO in the above-referenced 1. application for which issuance of a new corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is incorrectly entered. 2.

### Error in

Domestic Priority data as claimed by applicant

Title:

RNA Interference Mediated Inhibition of Vascular Edothelial Growth Factor And Vascular Edothelial Growth Factor Receptor Gene Expression Using Short Interfering Nucleic Acid (siNA)

McDennell Boringe Hulbert & Bergingt LLP 300 Swift Wacker Drive, Serte 1100 Cheald, Illingia 60060 Transfort (312) 913-0001 Facement (312) 913-0002

APPLICATION NO. 10/665,951 ATTORNEY DOCKET NO. MBHB02-742-F (400/131)

#### Correct Data

Domestic Priority data as claimed by applicant: This application is a CIP of 10/664,767 09/16/2003 which is a CIP of PCT/US03/05022 02/20/2003 which claims benefit of 60/358,580 02/20/2002 and claims benefit of 60/363,124 03/11/2002 and claims benefit of 60/386,782 06/06/2002 and claims benefit of 60/393, 796 07/03/2002 and claims benefit of 60/399,348 07/29/2002 and claims benefit of 60/406,784 08/29/2002 and claims benefit of 60/408,378 09/05/2002 and claims benefit of 60/409,293 09/09/2002 and claims benefit of 60/440,129 01/15/2003 and said PCT/US03/05022 02/20/2003 is a CIP of 10/306,747 11/27/2002 which claims benefit of 60/334,461 11/30/2001 and said PCT/US03/05022 02/20/2003 is a CIP of 10/287,949 11/04/2002 and a CIP of PCT/US02/17674 05/29/02

### Title:

RNA Interference Mediated Inhibition Of Vascular Endothelial Growth Factor And Vascular Endothelial Growth Factor Receptor Gene Expression Using Short Interfering Nucleic Acid (siNA).

3. In connection with this request, Applicant is submitting a copy of the Preliminary
Amendment filed on November 19, 2004 to reflect the correct title.

APPLICATION NO. 10/665,951 ATTORNEY DOCKET NO. MBHB02-742-F (400/131)

4. Applicant believes that no fee is due in connection with this filing, however, if a fee is due, the Commissioner is authorized to charge our deposit account number 13-1249.

Respectfully submitted, McDonnell, Boehnen, Hulbert & Berghoff LLP

Registration No. 43,673

Date: December 2, 2004

Lisa M.W. Hillman

ART UNIT

1635

# BEST AVAILABLE COPY



APPL NO.

10/665.951

## <u> United States Patent and Trademark Office</u>

FIL FEE REC'D

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UNITED STATES DEPARTMENT OF COMMERCE United Status Patent and Trademark Office Address COMMUNICATION FOR PATENTS POR PATENTS POR PATENTS POR PATENTS POR PATENTS PORTION 22313-1400

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MBHB02-742-F (400/131) 19

**CONFIRMATION NO. 8325** 

020306 MCDONNELL BOEHNEN HULBERT & BERGHOFF 300 SOUTH WACKER DRIVE **SUITE 3200** CHICAGO, IL 60606

FILING OR 371

(c) DATE

09/18/2003

FILING RECEIPT OC000000011483264\*

Date Mailed: 12/12/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if This application is a cir of 10/664, 767 09/16/2003 appropriate).

Applicant(s)

James McSwiggen, Boulder, CO; Leonid Beigelman, Longmont, CO; Pamela Pavco, Lafayette, CO;

which is a CIP of PCT/4803/05022 02/20/2003 which claims benefit of 60/338,380 02/20/2002 and daims benefit of 60/363,124 03/11/2002 and claims benefit of 60/386.182 06/06/2002 and dams benefit of 60/393,790 04/03/2002 claims benefit of 60/399.548 07/29/2002 and claims benefit of 60/406.7811 08/29/2002 claims benefit of 60/1608,348 09/05/2002 and claims benefit of 60/409,293 09/09/2002 and penefit of 60/440,129 01/15/2003 claims and

Domestic Priority data as claimed by applicant

Foreign Applications

UNITED STATES OF AMERICA PCT/US03/05022 02/20/2003

UNITED STATES OF AMERICA PCT/US02/17674 05/29/2002

which claims benefit of 60/334.461 11/30/2001

and said PCT/USU3/05022 02/20/200:

13 a CIP of 10/306, 747 11/27/2002

If Required, Foreign Filing License Granted: 12/12/2003

and said railusos 105022 02/20/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts is a CIP of 10/287,949 11/200 and a cip of perjusoe/17674 05/29/

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

RNA interference mediated inhibition of vascular edothelial growth factor and vascular edothelial growth factor receptor gene expression using short interfering nucleic acid (siNA)

Preliminary Class

RNA Interference Mediated Inhibition of Vascular Endothelial Growth factor And Vascular Endothelial Growth factor Receptor Gene Expression Using Short Interfering Nucleic Acid (SINA)

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date Indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PATENT

# UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. MBHB02,742-F (400/131))

IN THE APPLICATION OF: McSwiggen, et al. To Be Assigned Examiner: Serial No. 10/665,951 1635 Group Art Unit: September 18, 2003 Filed: 8325 Confirmation No.: RNA Interference Mediated Inhibition Of Title Vascular Endothelial Growth Factor And Vascular Endothelial Growth Factor Receptor Gene Expression Using Short

## TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In regard to the above identified application:

We are transmitting herewith the attached:

Interfering Nucleic Acid (siNA)

- a. Preliminary Amendment; and
- b. Return Receipt Postcard.
- With respect to additional fees:
   No additional fee is required.
- Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A
  duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 19 day of November, 2004.

Date: November 19, 2004

Lisa M.W. Hillman Reg. No. 43,673

MODONNELL BURGHIEN, MULBERT & REECHOPS 100 SOUTH WACKER DRIVE CHICAGO, LLINOIS 66666 TREPRODER (312) 913-0001 FACTURER (313) 913-0002 Hon. Commissioner of

S/N: 10/665,951

LMWHÆO

Atty: Case No. MBHB02-742-F (400/131)

Patents and Trademarks

Re: Applicant - McSwiggen et al.

"RNA Interference Mediated Inhibition Of Vascular Endothelial Growth Factor And Vascular Endothelial Growth Factor Receptor Gene Expression Using Short Interfering Nucleic Acid (SINA)"

Please place the Patent Office receipt stamp hereon and mall to acknowledge receipt of:

Transmittal Letter (in duplicate) X

Preliminary Amendment

Other: NONE

Fee Enclosed

\$-0-

Respectfully, McDonnell Boehnen Hulbert & Berghoff LLP

Attorney for Applicant

Date Mailed: November\_